

STATEMENT UNDER 37 C.F.R. 3.71(d)

Applicant/Patent Owner: Empedocles et al.
Application No.: 09/827,013
U.S. Patent No.: 6,754,420
Filed/Issue Date: May 11, 2004
Inventor: DIFFERENTIABLE SPECTRAL BAR CODE METHODS AND SYSTEMS

Commissioner for Patents
P.O. Box 1450
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Inventogen Corporation (name of assignee), a corporation (type of organization), states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest.

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at _____
B. _____, _____, _____, or for which a copy thereof is attached.

OR

- C. ☒ A claim of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From Empedocles et al., _____, for Quantum Dot Corporation.

The document was recorded in the Patent and Trademark Office at _____,
Serial #506, or for which a copy thereof is attached.

2. From Quantum Dot Corporation, _____, for Inventogen Corp., _____.

The document was recorded in the Patent and Trademark Office at Reel 016863,
Frame 0172 or for which a copy thereof is attached.

3. From _____ To _____

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☐ Additional documents in the chain of title are listed on a supplemental
sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of
the original document) must be submitted to Assignment Division in accordance
with 37 CFR Part 5, if the assignment is to be recorded in the records of the PTO.
See MPEP 502-502.6.]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of
the assignor.

Signature



(Typed or printed name)

Christopher J. Daniel, Ph.D., J.D.

Title

Intellectual Property In-House Counsel

Date

19 of Dec 2011